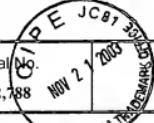


TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
RD28056-5

In Re Application Of: **Brown et al.**



Serial No.
10/672,788

Nov 21
2003

Filing Date
09/26/2003

Examiner
to be assigned

Group Art Unit
to be assigned

Title: **TRIAZINE COMPOUNDS, POLYMERS COMPRISING TRIAZINE STRUCTURAL UNITS, AND METHOD**

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
RD28056-5

In Re Application: **Brown et al.**



Serial No. **O 10/672,788**

NOV 21 2003

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09/26/2003

Examiner
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Group Art Unit
to assigned

TRIAZINE COMPOUNDS, POLYMERS COMPRISING TRIAZINE STRUCTURAL UNITS, AND

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of _____ is attached.

The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **07-0862** as described below. A duplicate copy of this sheet is enclosed.

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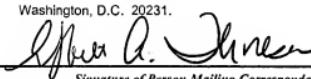
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Signature

Dated: **11/17/03**

Frank A. Smith - Registration No. 39,375

General Electric Company

One Plastics Avenue

Pittsfield, MA 01201

Phone: 413-448-7604

Customer No. 25743

CC:

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
RD28056-5

In Re Application Of: Brown et al.



Serial No.
10/672788

Filing Date
09/26/2003

Examiner
to be assigned

Group Art Unit
to be assigned

Invention: **TRIAZINE COMPOUNDS, POLYMERS COMPRISING TRIAZINE STRUCTURAL UNITS, AND METHOD**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Signature

Dated:

11/17/03

Frank A. Smith - Registration No. 39,375
General Electric Company
One Plastics Avenue
Pittsfield, MA 01201
phone 413-448-7604
Customer No. 25743

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT
LIST OF ITEMS

(Use several sheets if necessary)

Attorney's Docket Number
RD28056-5Serial Number
10/672,788Name of Applicant
Brown et al.Filing Date
09/26/2003Group
To be assigned

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AD	4	0	9	2	2	4	3	05/30/1978	Dieter et al.			
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EXAMINER	DATE CONSIDERED
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EXAMINER**DATE CONSIDERED**

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Attorney's Docket Number
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10/672,788Name of Applicant
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Attorney's Docket Number
RD28056-5Serial Number
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Brown et al.Filing Date
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AR	Konstantinova, T., et al.: "Synthesis and photochemical properties of triazinylaminobenzanthrone derivates as dyes for polymeric materials"; Polymer Degradation and Stability (1995), 48(1), 161-6; XP002247875.
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Attorney's Docket Number
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AQ	PCT International Search Report for International Application No. PCT/US 02/24458, International filing date of July 31, 2002.
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